



SOCIO ECONOMIC AND OTHER GOVERNMENT REQUIREMENTS

Continental Maritime of San Diego (CMSD) participation in Government contracts requires that all suppliers certify compliance with certain flow-down clauses of the prime contract as indicated below. Please check the appropriate boxes on both sides of this form, sign and return to the Contracts Department at the above address.

It is recommended to register your business criteria using website <https://www.sam.gov/portal/public/SAM>.

SELF CERTIFICATION OF BUSINESS SIZE

(Check the appropriate box)

Our size is Small Large

SELF CERTIFICATION OF WOMEN-OWNED BUSINESS STATUS

(Check the appropriate box)

IS qualified as a Women-Owned IS NOT qualified as a Women-Owned

(A Women-Owned Business is a business that is 51% owned and operated by women who take active, daily participation in the running of the business.)

SELF CERTIFICATION OF VETERAN-OWNED SMALL BUSINESS CONCERN

(Check the appropriate box)

IS qualified as a Veteran-Owned IS NOT qualified as a Veteran-Owned
 IS qualified as a Service-Disabled Veteran-Owned IS NOT qualified as a Service-Disabled Veteran-Owned

The term Veteran-Owned Small Business Concern means a business in which not less than 51 percent of which is owned by one or more veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and the management and daily business operations of which are controlled by one or more veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such a veteran. The term "veteran" has the meaning defined in [38 U.S.C. 101 \(2\)](#). The term "service-disabled veteran" means a veteran with a disability that is service connected (as defined in [section 101 \(16\) of title 38, United States Code](#)).

NOTE: We request that all eligible SMALL DISADVANTAGED AND HUBZone business companies apply for certification through the Small Business Administration (SBA). Additional forms and information may be obtained through the SBA's web site at (<http://www.sba.gov>) or call (800) 558-0884. HUBZone web site information can be found at (<http://map.sba.gov/HUBZone>) or call (202) 205-8885.

CERTIFICATION OF SMALL-DISADVANTAGED BUSINESS STATUS

(Check the appropriate box)

IS certified as a Small-Disadvantaged Owned IS NOT certified as a Small-Disadvantaged Owned
 (Check appropriate ethnic group)

<input type="checkbox"/> Hispanic Americans	<input type="checkbox"/> Asian Indian Americans
<input type="checkbox"/> Native Americans (American Indians*, American Eskimos, American Aleuts, Native Hawaiians)	<input type="checkbox"/> Black Americans
<input type="checkbox"/> *Work performed on materials or in joint venture with Corporations on lands owned by American Indians	<input type="checkbox"/> Asian Pacific Americans (Japan, China, Philippines, Taiwan Viet Nam, Korea, Samoa, Guam, U.S. Trust Territory of the Pacific, Northern Marianas, Laos, Cambodia)

The term "Small-Disadvantaged Business" means a business at least fifty-one percent (51%) of which is owned by one or more socially and economically disadvantaged individuals, whose management and daily business operations are controlled by one or more such individuals. For the purposes of this definition, socially and economically disadvantaged individuals are Black Americans, Hispanic Americans, Native Americans (as defined above), Asian Pacific Americans (as defined above), and Asian Indian Americans.

CERTIFICATION OF HISTORICALLY UNDERUTILIZED BUSINESS ZONE (HUBZone) STATUS

(Check the appropriate box)

IS certified as a HUBZone business

IS NOT certified as a HUBZone business

The term "Historically Underutilized Business Zone (HUBZone) Business" means a business that is located within the area of one or more qualified census tracts, qualified non-metropolitan counties, or lands within the external boundaries on an Indian reservation AND at least thirty-five percent (35%) of the employees reside within the area. HUBZone businesses must meet the requirements of the HUBZone Act of 1997, Title VI of Public Law 105-135 as listed under Chapter 13 Code of Federal Regulations (CFR) Parts 121, 125, and 126. HUBZones can be identified on the Small Business Administration's web-site at <http://www.sba.gov>

LABOR SURPLUS AREA

(Check the appropriate box)

The offeror certifies that his concern IS, IS NOT, located in a LABOR SURPLUS AREA eligible under DMP-4A as designated by the Department of Labor in the Department of Labor Quarterly Bulletin entitled *Area Trends in Employment and Unemployment*. If the offeror has checked the box indicating that he is in such an area, offeror agrees to perform or have performed a substantial portion (more than 50 percent of the price) of any order that may be awarded as a result of this offer in a Labor Surplus Area.

CLEAN AIR AND WATER CERTIFICATION FAR 52.223-2 (APR 1984)

(Check the appropriate box)

Applicable if the bid or offer exceeds \$100,000, or the BUYER has determined that orders under an indefinite quantity contract in any year will exceed \$100,000, or a facility to be used has been subject of a conviction under the Clean Air Act (42 U.S.C. 7413(c)(1)) or the Federal Water Pollution Control Act (33 U.S.C. 1319(c)) and is listed by EPA, or is not otherwise exempt.

The bidder or offeror certifies as follows:

- i) Any facility to be utilized in the performance of this proposed contract IS , IS NOT , listed on the Environmental Protection Agency List of Violating Facilities.
- ii) He will promptly notify the Buyer, prior to award, of the receipt of any communications from the Director, Office of Federal Activities, U.S. Environmental Protection Agency, indicating that any facility which he proposes to use for the performance of the contract is under consideration to be listed on the EPA List of Violating Facilities; and
- iii) He will include substantially this solicitation certification, including this paragraph (iii), in every non-exempt subcontract.

FEDERAL EQUAL EMPLOYMENT OPPORTUNITY REPORT REQUIREMENTS

(Check the appropriate boxes)

Has your company filed the required Employer Information Report EEO-1 (Standard Form 100) with the Secretary of Labor's Joint Reporting Committee for the prior reporting period ending March 31?

YES

NO

If your answer is "NO," check the following appropriate box for not filing:

- 1. Employ less than 50 people.
- 2. Have not received any of the following:
 - a. Prime contracts or first tier subcontracts for \$50,000 or more.
 - b. Any tier contract for \$50,000 or more.
- 3. Have specific exemption from the Secretary of Labor as provided in Section 304 of Executive Order 11246.
- 4. Other:

Within thirty (30) days after receipt of any order from Continental Maritime of San Diego, Inc. and prior to 31 March thereafter, during the performance of work under said order the undersigned firm agrees to file Standard Form 100 entitled "Equal Employment Opportunity Employer Information Report EEO-1 in accordance with instructions contained therein, unless such firm has either filed such report within twelve months preceding the date of the award or is not otherwise required by law or regulation to file such a report.

Do you have at each of your facilities written Affirmative Action Programs as called for in 60-1 40, 60-2 50, and 60-741 of Title 41 of the Code of Federal Regulations?

YES

NO

If "YES," is it current?

YES

NO

If "NO," within 120 days after receipt of any order, the undersigned firm agrees to develop and maintain written Affirmative Action Programs for each of its facilities unless at such time it is not required by law or regulation to develop such a program.

CERTIFICATION OF NON-SEGREGATED FACILITIES (APR 1984)

I certify that the responses made in the spaces above are correct and that I am in a position within this company to verify the accuracy thereof.

_____ Date

_____ Company Name

_____ By (Signature)

_____ (Print) Name/Title